

Brookfield Security History

The following is a summary of corporate events related to the publicly traded securities issued by Brookfield Asset Management Inc. ("Brookfield" or the "company") and its predecessor companies.

Note: "TSX" refers to the Toronto Stock Exchange. "NYSE" refers to the New York Stock Exchange.

2016	Nov. 18	<p>Brookfield issued 12,000,000 of 4.8%* perpetual Class A Preference shares, Series 46, which commenced trading on the TSX under the symbol BAM.PF.I.</p> <p><small>* Commencing on March 31, 2022, the dividend rate will be reset every five years at a rate equal to the greater of: (i) the 5-year Government of Canada bond yield plus 3.85%, and ii) 4.8%.</small></p>
	Jun. 30	<p>Holders of Brookfield's Class A Preference Shares, Series 24 (BAM.PR.R) converted 1,533,133 of these shares into an equivalent number of Brookfield's Class A Preference Shares, Series 25 (BAM.PR.S).</p>
	Jun. 20	<p>Brookfield distributed a special dividend of one unit of Brookfield Business Partners per 50 Class A Limited Voting shares held by shareholders of record at the close of business on June 2, 2016. The units commenced trading on the NYSE and the TSX under the symbols BBU and BBU.UN, respectively, on June 20, 2016. Fractional units were paid in cash based on the weighted average trading price of the units on the NYSE and TSX for the five trading days following the commencement of trading, which were US\$21.3873 and C\$27.1594 per unit, respectively.</p>
	Mar. 1	<p>Brookfield redeemed all of its outstanding Class A Preference shares, Series 14 (BAM.PR.L) for cash at par value of C\$100.00 plus accrued and unpaid dividends.</p>

2015	Oct. 2	<p>Brookfield issued 10,000,000 of 5.0%* perpetual Class A Preference shares, Series 44, which commenced trading on the TSX under the symbol BAM.PF.H.</p> <p><small>* Commencing on December 31, 2020, the dividend rate will be reset every five years at a rate equal to the greater of: (i) the 5-year Government of Canada bond yield plus 4.17%, and ii) 5.0%.</small></p>
	May 12	<p>Brookfield completed a three-for-two stock split of its Class A Limited Voting Shares by way of a stock dividend paid on May 12, 2015 to shareholders of record at the close of business on May 5, 2015, of one Class A Share for every two Class A or Class B Shares held on the record date. Fractional shares were paid in cash at the rate of C\$21.43 or US\$17.80 for each dividend of one-half a Class A Share. On a post-split basis, the quarterly dividend on the Class A Shares for June 30, 2015 is US\$0.12 per subdivided share.</p>

2014	Oct. 8	<p>Brookfield issued 12,000,000 of 4.5%* perpetual Class A Preference shares, Series 42, which commenced trading on the TSX under the symbol BAM.PF.G.</p> <p><small>* Commencing on June 30, 2020, the dividend rate will be reset every five years at a rate equal to the five year Government of Canada bond yield plus 2.84%.</small></p>
	Jun. 5	<p>Brookfield issued 12,000,000 of 4.5%* perpetual Class A Preference shares, Series 40, which commenced trading on the TSX under the symbol BAM.PF.F.</p> <p><small>* Commencing on October 1, 2019, the dividend rate will be reset every five years at a rate equal to the five year Government of Canada bond yield plus 2.86%.</small></p>

	May 27	Brookfield announced its intention to redeem all of its outstanding Class A Preference shares, Series 22 (BAM.PR.P) for cash of \$25.00 plus accrued and unpaid dividends on September 30, 2014.
	May 7	Brookfield announced an increase in the quarterly dividend on its Class A Limited Voting Shares from US\$0.15 to US\$0.16, commencing with the dividend payable on June 30, 2014, to shareholders of record as at the close of business on May 31, 2014.
	Mar. 13	Brookfield issued 8,000,000 of 4.4%* perpetual Class A Preference shares, Series 38, which commenced trading on the TSX under the symbol BAM.PF.E . <small>* Commencing on April 1, 2020, the dividend rate will be reset every five years at a rate equal to the five year Government of Canada bond yield plus 2.55%.</small>
	Mar. 6	Brookfield announced its intention to redeem all of its outstanding Class A Preference shares, Series 12 (BAM.PR.J) for cash of \$26.00 plus accrued and unpaid dividends on April 6, 2014.
<hr/>		
2013	Jun. 20	Brookfield issued 8,000,000 of 4.9% perpetual Class A Preference shares, Series 37, which commenced trading on the TSX under the symbol BAM.PF.D .
	May 28	Brookfield announced its intention to redeem all of its outstanding Class A Preference shares, Series 21 (BAM.PR.O) for cash of \$25.00 plus accrued and unpaid dividends on June 30, 2013.
	Apr. 15	Brookfield distributed a special dividend of one unit of Brookfield Property Partners per 17.42 Class A Limited Voting shares held by shareholders of record at the close of business on March 26, 2013. The units commenced trading on the NYSE and the TSX under the symbols BPY and BPY.UN, respectively, on April 15, 2013. Fractional units were paid in cash based on the weighted average trading price of the units on the NYSE and TSX for the five trading days following the commencement of trading, which were US\$21.8271 and C\$22.3805 per unit , respectively.
	Feb. 15	Brookfield announced an increase in the quarterly dividend on its Class A Limited Voting Shares from US\$0.14 to US\$0.15, commencing with the dividend payable on May 31, 2014, to shareholders of record as at the close of business on May 1, 2013.
<hr/>		
2012	Nov. 27	Brookfield issued 8,000,000 of 4.85% perpetual Class A Preference shares, Series 36, which commenced trading on the TSX under the symbol BAM.PF.C .
	Sept. 12	Brookfield issued 10,000,000 of 4.2%* Class A Preference shares, Series 34, which commenced trading on the TSX under the symbol BAM.PF.B . <small>* Commencing on April 1, 2019, the dividend rate will be reset every five years at a rate equal to the five year Government of Canada bond yield plus 2.63%.</small>
	Aug. 30	Brookfield announced its intention to redeem all of its outstanding Class A Preference Shares, Series 11 (TSX: BAM.PR.I) for cash of C\$25.00 plus accrued and unpaid dividends on October 1, 2012.
	Mar. 13	Brookfield issued 12,000,000 of 4.5%* Class A Preference shares, Series 32, which commenced trading on the TSX under the symbol BAM.PF.A . <small>* Commencing on October 1, 2018, the dividend rate will be reset every five years at a rate equal to the five year Government of Canada bond yield plus 2.90%.</small>

	Mar. 5	Brookfield announced its intention to redeem all of its outstanding Class A Preference Shares, Series 10 (TSX: BAM.PR.H) for cash of C\$25.00 plus accrued and unpaid dividends on April 5, 2012.
	Feb. 17	Brookfield announced an increase in the quarterly dividend on its Class A Limited Voting Shares from US\$0.13 to US\$0.14, commencing with the dividend payable on May 31, 2012, to shareholders of record as at the close of business on May 1, 2012.
2011	Nov. 2	Brookfield issued 10,000,000 of 4.8%* Class A Preference shares, Series 30, which commenced trading on the TSX under the symbol BAM.PR.Z . <small>* Commencing on January 1, 2018, the dividend rate will be reset every five years at a rate equal to the five year Government of Canada bond yield plus 2.96%.</small>
	Nov. 1	Holders of Brookfield's Class A Preference Shares, Series 8 (BAM.PR.E) converted 927,590 of these shares into an equivalent number of Brookfield's Class A Preference Shares, Series 9 (BAM.PR.G), and holders of 774,036 Series 9 preferred shares converted these shares into an equivalent number of Series 8 preferred shares.
	Oct. 11	Brookfield announced that the annual dividend rate on its Series 9 preferred shares for the five-year period from November 1, 2011 to October 31, 2016, would be reset from 4.35% to 3.80%, commencing with the dividend payable on February 1, 2012.
	Feb. 17	Brookfield issued an additional 800,000 of 4.6%* Class A Preference Shares, Series 28, in connection with the exercise of an underwriter over-allotment option. <small>* Commencing on July 1, 2017 the dividend rate will be reset every five years at a rate equal to the 5-year Government of Canada bond yield plus 1.80%.</small>
	Feb. 15	Brookfield issued 17,595,000 Class A Limited Voting Shares in connection with its acquisition of common shares of General Growth Properties.
	Feb. 8	Brookfield issued 8,600,000 of 4.60%* Class A Preference Shares, Series 28, which commenced trading on the TSX under the symbol BAM.PR.X . <small>* Commencing on July 1, 2017 the dividend rate will be reset every five years at a rate equal to the 5-year Government of Canada bond yield plus 1.80%.</small>
2010	Oct. 29	Brookfield issued 10,000,000 of 4.5%* Class A Preference shares, Series 26, which commenced trading on the TSX under the symbol BAM.PR.T . <small>* Commencing on April 1, 2017, the dividend rate will be reset every five years at a rate equal to the five year Government of Canada bond yield plus 2.31%.</small>
	Jan. 14	Brookfield issued 11,000,000 of 5.4%* Class A Preference shares, Series 24, which commenced trading on the TSX under the symbol BAM.PR.R . <small>* Commencing on July 1, 2016, the dividend rate will be re-set every five years at a rate equal to the five-year Government of Canada bond yield plus 2.30%.</small>
2009	Jun. 4	Brookfield issued 12,000,000 of 7%* Class A Preference shares, Series 22, which commenced trading on the TSX under the symbol BAM.PR.P . <small>* Commencing on October 1, 2014, the dividend rate will be re-set every five years at a rate equal to the five-year Government of Canada bond yield plus 4.45%.</small>
2008	Jun. 25	Brookfield issued 6,000,000 of 5.0% Class A Preference shares, Series 21, which commenced trading on the TSX under the symbol BAM.PR.O .

	Mar. 18	Brookfield's Class A Limited Voting Shares commenced trading on the Amsterdam exchange of NYSE Euronext under the stock symbol BAMA.
	Feb. 8	Brookfield announced an increase in the quarterly dividend on its Class A Limited Voting Shares from US\$0.12 to US\$0.13, commencing with the dividend payable on May 31, 2008 to shareholders of record on May 1, 2008.
	Jan. 31	Brookfield distributed a special dividend of one unit of Brookfield Infrastructure Partners L.P. (BIP) per 25 Class A Limited Voting shares held by shareholders of record at the close of business on January 14, 2008. The units commenced trading on NYSE under the symbol BIP on January 31, 2008. Fractional units were paid in cash based on the weighted average trading price of the units on the NYSE for the five trading days following the commencement of trading, which was US\$20.5565 per unit.
2007	Jul. 3	Brookfield redeemed its 8.30% Preferred Securities (BAM.PR.T) for C\$25.00 per security plus accrued interest of C\$0.017055, representing a total redemption price per security of C\$25.017055.
	Jun. 1	Brookfield completed a three-for-two stock split of its Class A Limited Voting Shares by way of a stock dividend paid on June 1, 2007 to shareholders of record at the close of business on May 24, 2007, of one Class A Share for every two Class A or Class B Shares held on the record date. Fractional shares were paid in cash at the rate of C\$22.72 or US\$20.95 for each dividend of one-half a Class A share. On a post-split basis, the quarterly dividend on the Class A Shares paid on August 31, 2007 was US\$0.12 per subdivided share.
	May 9	Brookfield issued 8,000,000 of 4.75% Class A Preference Shares, Series 18, which commenced trading on the TSX under the symbol BAM.PR.N .
	Feb. 9	Brookfield announced an increase in the quarterly dividend payable on its Class A Limited Voting Shares from US\$0.16 to US\$0.18 (or US \$0.12 on a post-split basis), commencing with the dividend paid on May 31, 2007 to shareholders of record on May 1, 2007.
	Jan. 2	Brookfield redeemed its 8.35% Preferred Securities (BAM.PR.S) for C\$25.00 per security plus accrued interest of C\$0.01144, representing a total redemption price per security of C\$25.01144.
2006	Nov. 20	Brookfield issued 8,000,000 of 4.75% Class A Preference Shares, Series 17, which commenced trading on the TSX under the symbol BAM.PR.M .
	Nov. 16	Brookfield announced its intention to redeem its 8.35% Preferred Securities on January 2, 2007 for C\$25.00 per security plus accrued interest.
	Nov. 1	Holder of Brookfield's Class A Preference Shares, Series 8 (BAM.PR.E) converted 272,614 of these shares into an equivalent number of Brookfield's Class A Preference Shares, Series 9 (BAM.PR.G), and holders of 1,028,770 Series 9 preferred shares converted these shares into an equivalent number of Series 8 preferred shares.
	Oct. 11	Brookfield announced that the annual dividend rate on its Series 9 preferred shares for the five-year period from November 1, 2006 to October 31, 2011, would be reset from 5.63% to 4.35%, commencing with the dividend payable on February 1, 2007.

	Jun. 12	The stock symbols for Brookfield's Class A Limited Voting Shares which trade on the TSX were changed from BAM.LV.A and BAM.LV.U to BAM.A and BAM.U , respectively, as a result of the discontinuation of the TSX's symbol extension program.
	Apr. 27	Brookfield completed the three-for-two stock split of its Class A Limited Voting Shares by way of a stock dividend paid on April 27, 2006 to shareholders of record at the close of business on April 19, 2006 of one Class A Share for every two Class A or Class B Shares held on the record date. Fractional shares were paid in cash at the rate of C\$23.24 or US\$20.45 for each dividend of one-half a Class A Share.
	Apr. 4	Brookfield announced an increase in the quarterly dividend payable on its Class A Limited Voting Shares on May 31, 2006 from US\$0.16 to US\$0.24 per share, on a pre-split basis. This dividend was subsequently adjusted to reflect the three-for-two stock split implemented on April 27, 2006, and on a post-split basis was US\$0.16 per subdivided Class A Share. The record date for this increased dividend was also changed from May 1, 2006 to May 5, 2006.
	Feb. 10	Brookfield announced an increase in the quarterly dividend on its Class A Limited Voting Shares from US\$0.15 to US\$0.16, commencing with the dividend payable on May 31, 2006 to shareholders of record at the close of business on May 1, 2006.
<hr/>		
2005	Nov. 10	Shareholders of Brascan Corporation approved changing its name to Brookfield Asset Management Inc. The company's stock symbol remained as BAM .
	Nov. 9	Brascan's offer to acquire up to 12.2 million Class A Limited Voting shares at US\$41.00 expired. On November 18, 2005, the company acquired 3,646,912 Class A Shares tendered to this offer.
	Nov. 8	Brascan redeemed its Class A Cumulative Redeemable Preference Shares, Series 3 on November 8, 2005 for a Redemption Price of C\$100,211.56 per share.
	Oct. 5	The stock symbol for Brascan's publicly traded securities listed on the TSX and NYSE was changed from "BNN" to " BAM ", effective the start of trading on this date.
	Feb. 14	Brascan announced an increase in the quarterly dividend on its Class A Limited Voting Shares from US\$0.14 to US\$0.15, commencing with the dividend payable on May 31, 2005 to shareholders of record at the close of business on May 1, 2005.
	Jan. 24	Brascan's Class A Preference Shares, Series 13 and 14, commenced trading on the TSX under the symbols BNN.PR.K and BNN.PR.L, respectively.
<hr/>		
2004	Dec. 31	Brascan amalgamated with its wholly owned subsidiary, Brascan Financial Corporation (BFC), and issued new preferred shares in exchange for the preferred shares of BFC as follows: <ul style="list-style-type: none"> - One Class A Preference Share, Series 13 issued in exchange for each BFC Floating Rate Class I Preferred Share, Series A, and for each BFC Class II Preferred Share, Series Three; and - One Class A Preference Share, Series 14 issued in exchange for each BFC Floating Rate Class II Preferred Share, Series Two.

	Dec. 1	Brascan's Class A Limited Voting Shares commenced separate trading in US funds on the TSX under the symbol BNN.LV.U, as part of the new TSX US dollar trading facility.
	Nov. 29	The TSX changed the stock symbol of Brascan's Class A Limited Voting Shares from BNN.A to BNN.LV.A in conjunction with the introduction of new symbols for companies listed on the TSX.
	Jul. 30	Brascan redeemed its Class A Preference Shares, Series 1 (BNN.PR.A) for C\$25.00 per share plus accrued dividends of C\$0.05039 per share. There were 18,891 shares outstanding in this series prior to redemption.
	Jun. 1	Brascan completed a three-for-two stock split of its Class A Limited Voting Shares by way of a stock dividend paid on June 1, 2004 of one half a Class A Share for every Class A and Class B Share held at the close of business on May 22, 2004. Fractional shares dividends were paid in cash at the rate of C\$17.53 or US\$12.77 for each one half Class A share dividend.
	Apr. 29	Brascan declared the quarterly dividend on its Class A Limited Voting Shares in US currency commencing with a dividend of US\$0.14 per share payable on August 31, 2004 to shareholders of record on August 1, 2004. This dividend was prorated to reflect the three-for-two stock split paid on June 1, 2004. Registered Canadian shareholders continue to receive their dividends on these shares in Canadian funds, unless they request otherwise, based on the noon exchange rate on the record date or on the last business day preceding the record date.
	Feb. 12	Brascan announced a three-for-two stock split for its Class A Limited Voting Shares, to be effected by way of a special dividend of one half a Class A Share for every Class A and Class B Share held. Brascan also announced an increase in the quarterly dividend on its Class A Limited Voting Shares from C\$0.26 to C\$0.27, both on a pre-split basis, commencing with the dividend payable on May 31, 2004.
2003	Apr. 29	Brascan announced an increase in the quarterly dividend on its Class A Limited Voting Shares from C\$0.25 to C\$0.26, commencing with the dividend paid on August 31, 2003.
	Feb. 19	Brascan issued 7,000,000 5.4% Class A Preference Shares, Series 12, listed on the TSX under the symbol BNN.PR.J.
2002	May 28	Brascan issued 1,060,176 5.50% Class A Preference Shares, Series 11, which commenced trading on the TSX on May 28, 2002 under symbol BNN.PR.I. These shares were issued in conjunction with Brascan's offer to acquire all the outstanding shares of its financial operations subsidiary, Brascan Financial Corporation, which it did not already own.
	Apr. 19	Brascan issued C\$125 million of 8.30% Preferred Securities, listed on the TSX under the symbol BNN.PR.T.

2001	Dec. 20	Brascan issued C\$125 million of 8.35% Preferred Securities, listed on the TSX under the symbol BNN.PR.S.
	Nov. 1	Brascan issued 6,950,208 5.63% Class A Preference Shares, Series 9, listed on the TSX under the symbol BNN.PR.G, in exchange for an equivalent number of Brascan's Class A Preference Shares, Series 8 (BNN.PR.E). The annual dividend rate on the Series 8 Preference Shares was reset from 6.25%, paid quarterly, to a floating rate based on the prime rate, paid monthly.
	Sept. 17	Brascan issued 10,000,000 5.75% Class A Preference Shares, Series 10, listed on the TSX under the symbol BNN.PR.H.

2000	Dec. 20	Brascan listed its Class A Limited Voting Shares on the New York Stock Exchange under the symbol BNN and de-listed them from the AMEX.
	Apr. 28	Shareholders of EdperBrascan Corporation approved changing its name to Brascan Corporation (BNN) .

1997	Sept. 12	EdperBrascan redeemed its Class A Preference Shares, Series 6, listed on the TSX under symbol EBC. PR.D.
	Aug. 1	<p>The Edper Group Limited ("Edper") (TEG) and Brascan Limited (BL) amalgamated to form EdperBrascan Corporation (EBC), with the following share exchanges:</p> <ul style="list-style-type: none"> - One Class A Limited Voting Share of EdperBrascan issued in exchange for one Class A Limited Voting Share of Edper. - One and one half (1.5) Class A Limited Voting Shares of EdperBrascan issued in exchange for one Class A Common Share of Brascan Limited. - One Class A Preference Share, Series 1,2 and 3 of EdperBrascan issued in exchange for one Class A, Preference Share, Series D, E and G of Edper respectively. - One Class A Preference Share, Series 4, 6 and 8 of EdperBrascan issued in exchange for one 1981 Preference Share, Series D, F and J of Brascan Limited respectively.

Selected Historical Information on Brookfield's Predecessor Companies

1996	Dec. 31	Hees International Bancorp Inc. ("Hees")(HIL) and The Edper Group Limited (EPR) amalgamated to form The Edper Group Limited (TEL) ("Edper"), with the following share exchanges:
		- One Class A Limited Voting Share of Edper issued in exchange for one Common Share of Hees.
		- 0.38 of a Class A Limited Voting Share of Edper issued for one Class A Share of The Edper Group Limited.
		- One Class A Preference Share, Series D, E and G of Edper issued in exchange for one Class A, Preference Share, Series D, E and G of Hees respectively.
1995	May 10	Shareholders of The Pagurian Corporation (PGC) approved changing its name to The Edper Group Limited (EPR) .

1986	Mar. 25	Brascan Limited issued a one-for-one stock dividend to holders of its Common Shares.
1983	Apr. 26	Shareholders of National Hees Enterprises Limited (NHL) approved changing its name to Hees International Corporation (HIL). This company's name was later changed in 1988 to Hees International Bancorp Inc.
1969	June 4	Shareholders of Brazilian Light and Power Company Limited approved changing its name to Brascan Limited (BL) .
1966	June	Shareholders of Brazilian Traction, Light and Power Company, Limited approved changing its name to Brazilian Light and Power Company Limited .
	January	Brazilian Traction, Light and Power Company, Limited re-instated a quarterly dividend policy on its common shares. This company and its successors have paid regular quarterly dividends on their common shares since this date.
1912	July 12	Brazilian Traction, Light and Power Company, Limited was incorporated in Toronto and, by September 1912, had exchanged substantially all its common shares for the common shares of the São Paulo and Rio de Janeiro Tramway, Light and Power companies.
1904	June 11	The Rio de Janeiro Tramway, Light and Power Company incorporated in Canada by Sir William Mackenzie's utility group.
1902	April	The common shares of the São Paulo Tramway Light and Power Company were listed and commenced trading on the Toronto Stock Exchange.
1899	April 7	Brookfield's oldest predecessor company, the São Paulo Tramway, Light and Power Company Limited , was incorporated in Ontario, Canada by a group of Canadian utility entrepreneurs led by Sir William Mackenzie of Toronto.